

About This Document

Aliom Pty Limited (ACN 123 876 291) will be referred to as "Aliom" or "we" or "us" or "our". "Personal Information" means information or an opinion about you where it is possible to ascertain your identity from that information or opinion.

We may revise or otherwise make changes to our privacy and information handling practices from time to time and for any reason. If any such amendment should require your consent in terms of the Privacy Act 1998, we will notify you before implementation of any change to our information handling practices.

This Privacy Policy is to be read subject to any overriding provisions of law or contract.

What is this Privacy Policy All About?

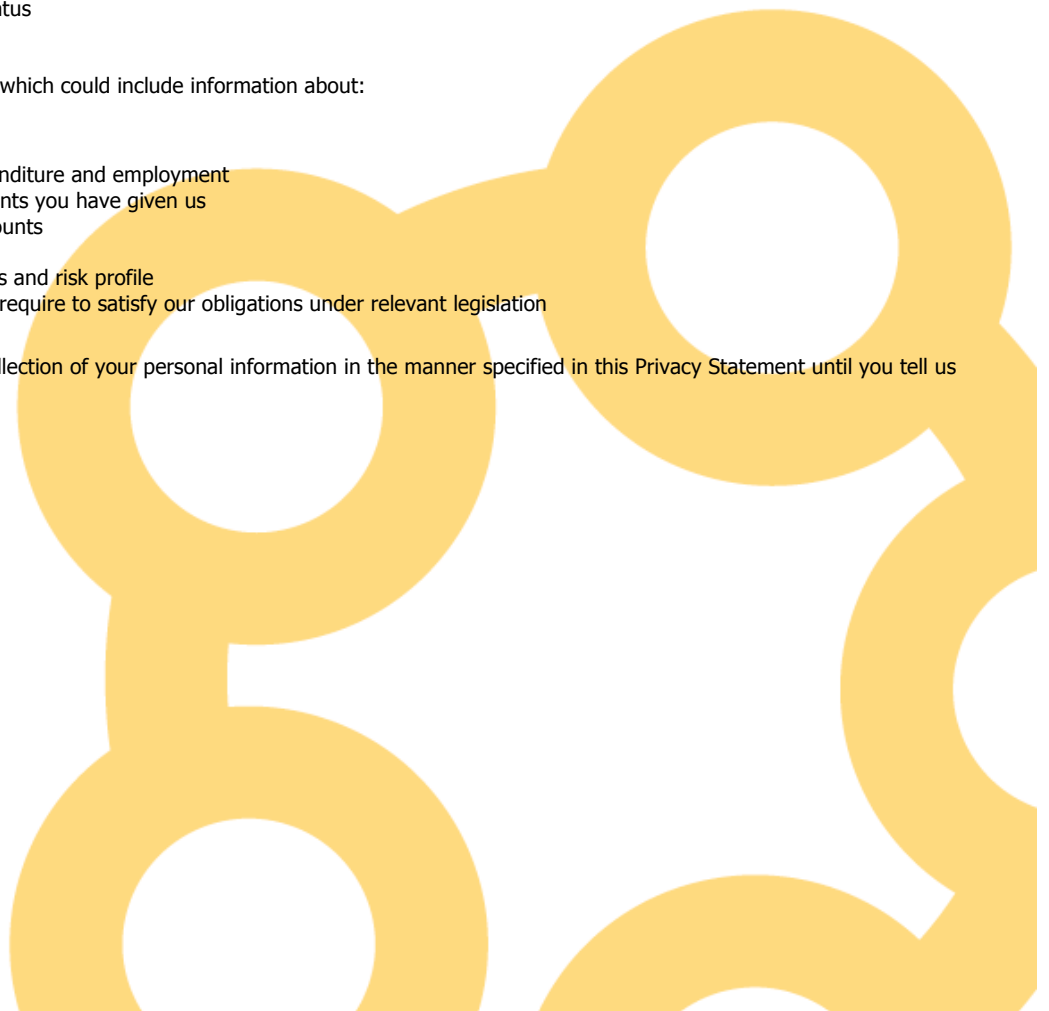
This policy sets out how Aliom handles your personal information. New laws came into effect on December 21, 2001, to protect the privacy of your personal information. This policy briefly explains the key measures we have taken to make sure comply with the National Privacy Principles to safeguard your privacy.

What is "Personal Information"?

The information we, or our related entities, collect from you will depend upon the services we provide to you and may include the following:

- Your name
- Contact details (telephone, address, fax, email)
- Date of birth, gender and marital status
- Your tax-file number
- Your occupation
- Details about your financial position which could include information about:
 - o your assets and liabilities
 - o your annual income, expenditure and employment
 - o any securities or investments you have given us
 - o your associated bank accounts
 - o specific transactions
 - o your investment objectives and risk profile
 - o any other information we require to satisfy our obligations under relevant legislation

We will assume you consent to the collection of your personal information in the manner specified in this Privacy Statement until you tell us otherwise.



Why Do We Need Personal Information?

We only collect personal information that is necessary for us to perform our functions. Aliom uses personal information to:

- open and maintain your account(s)
- process transactions on your behalf
- enable us to assess any request from you for financial advice
- send research information to you
- respond to any specific requests you may contact us about
- help us to assess products that may suit your financial needs
- notify you of any products that may be of interest to you
- keep you informed on matters that may affect or be related to your investments
- update your personal files
- enable us to meet our obligations under certain laws
- to provide you with regular reviews and keep you informed on the performance of your investments
- any purpose for which the information was requested and any directly related purpose

Personal information may also be used for:

- monitoring and evaluating products and services
- gathering and aggregating information for statistical, prudential, actuarial and research purposes
- taking measures to detect and prevent frauds and credit loss

Legal Requirements to Collect Certain Personal Information

Prior to providing products or services to you Aliom is obliged by law to collect, and verify, certain personal information from you. The laws governing these requirements include:

- The Financial Transactions Reports Act (1988) (Cth)
- The Anti-Money Laundering and Counter Terrorism Financing Act (2006)(Cth)
- Australian taxation laws
- The Corporations law
- Financial services laws

Accuracy of Personal Information

We take all reasonable precautions to ensure that the personal information we collect, use or disclose is accurate, complete and up to date. Our ability to do so depends to a large extent on the information you provide which is why we urge you to:

- advise us immediately if there are any errors in your personal information
- always ensure you advise us of changes to your personal information such as name, address and contact telephone numbers.

Disclosing Personal Information

Aliom undertakes not to trade, rent or sell your personal information. Aliom may disclose your personal information to the following:

- to related companies of Fusion Markets for the purpose of marketing new investment opportunities to you
- to execution platform providers and clearing participant members of the Australian Stock Exchange and overseas exchanges to execute and clear transactions on your behalf
- to our bank, when depositing funds to your account
- to outsourcing organisations contracted by Fusion Markets to provide administrative functions on our behalf, for example IT contractors
- providing maintenance of our data systems
- when compelled by law or statute, to certain government or regulatory bodies or to law enforcement agencies with appropriate authority
- if necessary, our professional advisers including our lawyers and auditors
- your duly authorised representatives, such as under Power of Attorney, legal representatives or accountants

How Do We Keep This Information Secure?

Aliom endeavours to take all reasonable steps to keep any information we hold about our clients secure. We manage your information in accordance with the law and National Privacy Principles.

Your Rights

Naturally, you have the right not to provide us with any of the information we request when you apply for any of our services. However, we only require the minimal information necessary to provide you with the service or client agreement you seek and your decision to withhold personal information may prevent us from providing that service or client agreement to you.

If you have any questions, concerns or complaints about our Privacy Policy please contact us on 02 8246 8500 or at our address:

Aliom Pty Ltd
Level 4, 131 York St
Sydney NSW 2000 Australia

We will try our best to satisfy your request or resolve any privacy complaint but if we are unable to do so, you have the right to lodge a complaint with the Privacy Commissioner whose contact details are available from us on request.

